DT14 Rec'd PCT/PTO 0 5 OCT 2004

PTO-1390 (Rev. 10-2004)
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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

ATTTORNEY'S DOCKET NUMBER 3593 P 011 U.S. APPLICATION (O. Af known, Fige 32 (GR Q)

CONCERNING A SUBMISSIO	JN UNDER 35 U.S.C. 371	10/510/7/	
INTERNATIONAL APPLICATION NO. PCT/JP03/04349	INTERNATIONAL FILING DATE 04 April 2003 (04/04/2003)	PRIORITY DATE CLAIMED  08 April 2002 (08/04/2002)	
TITLE OF INVENTION			
SPINDLE MOTOR APPLICANT(S) FOR DO/EO/US			
MATSUSHITA, Kunitake; YAMAWAKI, Takayuki; SUZUKI, Katsutoshi and INAGAKI, Masaaki			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.			
2. Light This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.			
3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4. X The US has been elected (Article 31).			
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. is attached hereto (required only if not communicated by the International Bureau).			
b. X has been communicated by the International Bureau.			
c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
a. LX is attached hereto.			
b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
a. Liu are attached hereto (required only if not communicated by the International Bureau).			
b. have been communicated by the International Bureau.			
c. have not been made; howe	c. have not been made; however, the time limit for making such amendments has NOT expired.		
d. have not been made and will not be made.			
8. An English language translation of th	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. x An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).		
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT	
Items 11 to 20 below concern document(s) or information included:			
11 An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.		
12. An assignment document for recordin	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.	
A preliminary amendment.			
14. An Application Data Sheet under 37 C	OFR 1.76.		
A substitute specification.			
. X A power of attorney and/or change of address letter.			
17. A computer-readable form of the sequ	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.	
18. A second copy of the published Intern	A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. A second copy of the English language	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).		
20. Other items or information:	• •		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 10-2004)

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Under the Paperwork Reduction Act of 1995 nother required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO (if kgowth, see 17 CFR 1) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP03/94349 3953 P 011 CALCULATIONS PTO USE ONLY 21. \_\_\_ The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.......\$1100.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ......\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO International preliminary examination fee (37 CFR 1.482) paid to USPTO International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ...... ENTER APPROPRIATE BASIC FEE AMOUNT = 950 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months \$ from the earliest claimed priority date (37 CFR 1.492(e)). NUMBER FILED **CLAIMS** NUMBER EXTRA RATE s Total claims Х - 20 = \$18.00 Independent claims - 3 = х \$88.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$300.00 \$ TOTAL OF ABOVE CALCULATIONS = \$950 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be charged: A check in the amount of \$950 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_ \_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. c. | X | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: WALLENSTEIN WAGNER & ROCKEY, LTD. 311 South Wacker Drive Suite 5300 Chicago, IL 60606-6630 312-554-3300 MORNEAULT 37,893 REGISTRATION NUMBER

## CERTIFICATE OF EXPRESS MAIL

10/510359

## **U.S. National (371 Application)**

Inventor:

MATSUSHITA, Kunitake YAMAWAKI, Takayuki SUZUKI, Katsutoshi INAGAKI, Masaaki

Filing Date:

October 5, 2004

Title:

SPINDLE MOTOR

Attorney Docket No.:

3593 P 011

**Enclosures:** 

2-pp. Transmittal Letter Copy of PCT Application

Annotated and Replacement Drawings

6-pp. Preliminary Amendment 2-pp. Power of Attorney

4-pp. Declaration

Check No. 22055 in the amount of \$950.00 for the filing fee

I hereby certify that this paper and the above documents are being deposited with the U.S. Postal Service as express mail in a postage-paid envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on October 5, 2004 under Express Mail No. EV 4497691673 US.

PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:

Customer Number 23424 Monique A. Morneault

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